



WHERE MONEY MEETS TECHNOLOGY
AND TECHNOLOGY MEETS TRADITION

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WallStreet Electronica Inc.
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To our Correspondents and Prospective Clients of our Securities Businesses:

As part of our ongoing commitment to inform and engage our clients, we would like to give you an update on Wallstreet Electronica's Business Continuity Plan (BCP) program for the Americas.

Wallstreet Electronica has taken significant steps to mitigate the impacts of business interruptions resulting from a wide variety of potential events, including the loss of key facilities and resources.

The BCP process begins with each business division reviewing its business continuity risk. This process encompasses all aspects of the Firm's key activities including but not limited to, trading, sales, settlement, clearance, custody, and funding. This assessment defines, for each business process, its criticality, exposure to risk and likelihood of failure.

Business units then develop plans to mitigate interruptions of processes deemed critical. Critical data and system applications are replicated, and alternative systems and procedures, including manual work-around, are identified to enable continued functionality upon the occurrence of an event. The individual business unit plans are captured and updated in a disaster recovery application and database, and we review the plans on a bi-annual basis. Testing is undertaken involving business divisions and support functions, such as information technology, facilities, operations, finance and internal audit.

Another key element of our program is a command and control network designed to monitor internal and external status items, manage escalation procedures, and provide a rapid response mechanism to address critical issues. The objective of the network is to enable Firm management on both a global and regional basis to monitor and manage a BCP incident and any material impact an event may have on the Firm's business activities.

We believe that our Firm's successful response to the events of September 11th and the 2005 Hurricane Season is a reliable indicator of the effectiveness of our BCP approach. While our plans attempt to deal with the potential likely impact of a wide variety of scenarios, any specific response will inevitably be highly dependent upon the nature and extent of the incident. Our plans are subject to modification at any time.

Wallstreet Electronica
Planned response to outage scenarios

Outage Scenario	Response	Recovery Time
Single Building Outage	<p><u>Staff and Workspace Recovery</u></p> <p>Branch Offices Recovery essential personnel are relocated to other branch offices and/ or work from home. Customer toll-free lines are redirected to Call Centers with qualified service personnel, or to other branches.</p> <p><u>Data Center Recovery</u></p> <p>Wallstreet Electronica has implemented a robust data center backup within the Miami area at the IBM Data Center. This data center is housed in a hardened facility capable of withstanding Category 5 Hurricane winds and protected by emergency power and serviced by separate utility grids and multiple telecommunications carriers.</p>	4 – 24 Hours
Branch Office	<p><u>Staff and Workspace Recovery</u></p> <p>Communications are redirected to Wallstreet Electronica’s Corporate Office toll free lines. Account access can be done directly through the public trading access at www.brokerwebstation.com and www.wallstreete.com</p>	4 – 24 Hours
Emergency Contact information	<p><u>Emergency contact can be made to:</u> Trading: 1-888-925-5783 Customer Service: 1-888-925-5783</p>	4 – 24 Hours